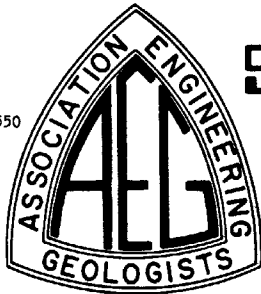


HUGH S. ROBERTSON
Chairman - 213-456-1853
GARY GUACCI
Vice Chairman - 213-413-3550
JEFF W. HOLT
Treasurer - 818-889-5174
STEVE JENSEN
Secretary - 619-292-8030
JOHN D. MERRILL
Editor - 818-881-2063
c/o Geoplan, Inc.
18342 Oxnard St., # 201
Tarzana, California 91356



SOUTHERN CALIFORNIA SECTION

news letter

2550 BEVERLY BOULEVARD, LOS ANGELES, CALIFORNIA 90057

DECEMBER MEETING

DATE: Tuesday, 11 December 1984

PLACE: Stevens Steak House
5332 Stevens Place
Commerce

COST: \$ 13.00, including tip.

RESERVATIONS: Jeff Holt & Associates
818-889-5174
Please make reservations by noon on previous Friday.

TIME: 5:30 Social Hour
6:30 Dinner
7:30 AEG Business
8:00 Speaker
9:00 Section affairs, if scheduled

SPEAKER: DON FIFE

TOPIC: Landslide Potential, Pyramid Reservoir,
Ridge Basin, Los Angeles County, California.

One of the first of its kind in California it integrates geology more fully in dam site selection process. A worse-case scenario of synchronous failure of all ancient landslide, unstable bedrock, and highway fills was estimated.

(Nobody knows anything about Don, except he worked somewhere once)

HUGH ROBERTSON requests companies to participate in Student Dinner Program. We need commitments to this program. If a student shows at meeting his dinner is paid for. Call Jeff Holt to sign up @ 818-889-5174.

Is there any interest in a Tri-Section Seminar with San Francisco, Sacramento and Los Angeles Sections to have a workshop regarding standard of care, current events, etc...? If interested contact HUGH ROBERTSON @ 213-456-1853. Joe Cobarrubias headed the last one a two day conference on Fri/Sat and it was very successful. We even made some money. Joe will assist and give any information he can, but someone needs to accept the leadership. Any volunteers?

A tentative schedule: Joint Meeting with San Diego Association of Geologists and AEG - February meeting; Feb. 12, 1985. Will be held in Carlsbad or somewhere in the north San Diego County. If interested in going by bus, contact Gary Guacci; 213-413-3550. Otherwise, car pooling will be arranged.

JOHN WOLFE, State Board of Registration announces new guidelines for geophysical reports, a 4 page document that is suggested to be adopted by all regulatory agencies. This document does not supercede CDMG Note 44, and is to be used in conjunction. Copies are to be mailed to consultants.

HUGH ROBERTSON is chairman of the Independent and Small Practice Committee for the Association. He is trying to find a better way that individuals and small practices can be benefitted by the Association. His concerns at present at (1) Errors and Omissions Insurance, and (2) Professional Liability Insurance. Call Hugh at 213-456-1853 if you have any suggestions.

PIT PARTY ON ELSINORE FAULT ZONE: Donald Lamar, Lamar-Merifield Geologists, Inc., and Tom Rockwell, San Diego State University, announce that a fantastic trench across the Glen Ivy North strand of the Elsinore fault, south of Corona, may be open for inspection on Monday, December 10, weather permitting. Those interested are invited to inspect this incredible dig. Please RSVP a day or two in advance to one of these numbers to be sure that nature (rain) has not postponed: Lamar: office 213-395-4528, home 213-393-8684; Rockwell: office 619-265-4441, home 619-588-7140.

Driving instructions: Drive to Corona on Riverside Freeway (91) and turn south on interstate 15. Drive about 10 miles south to Temescal Canyon Road. Turn right on Temescal Canyon Road to Lawson Road. Turn right and follow Lawson Road for about 1200 ft. to dirt road, northeast of line of heavy vegetation (we may have a sign here) Follow dirt road about $\frac{1}{2}$ mile - "You can't miss it". BYOB.

**BALLOT - ASSOCIATION OF ENGINEERING GEOLOGISTS
PROPOSED CHANGE TO CONSTITUTION**

In accordance with the provisions of Article VII of the Constitution, the following revision is proposed to Article I, Section 2 of the Association of Engineering Geologists Constitution and is presented for your consideration.

Present Section

Section 2: Location

The Association shall always be incorporated under the laws of the State of California.

Proposed Section

Section 2: Location

The Association shall always be incorporated within the continental boundaries of the United States of America.

_____ approved change

_____ do not approve change

PLEASE RETURN WITH DUES

A FABLE FOR MODERNS: A classically trained geologist with a receptive ear for the contemporary axiom may prove invaluable.

Last year, for example, residents of a New England farming area were perplexed over the rising phreatic line in their newly constructed earth dam. Experts were consulted and agreed that piezometric measurements indicated inevitable failure; they suggested emplacement of a filter under the toe in order to draw down the rising water. The valley deposits had been used as a borrow for the dam and had been exploited so thoroughly for dam construction that excavation of thousands of more tons would be necessary in order to obtain proper sized sand and gravel for the filter. This was economically infeasible and the dam seemed doomed.

Young John Winston, trained in Pleistocene and glacial geology, surveyed the situation and suggested that the downstream face of the dam be extended with any type of material until the toe rested on permeable valley train deposits a few yards downstream. These deposits consist of coarse material such that porosity and permeability would be adequate for the required filter.

His advice taken, the dam held and he was the man of the hour.

Reporters questioned the young glacial geologist on what had prompted his brilliant thought. "Well", humbly replied young Winston, "I just reasoned that in the modern filter blend, it's what's up front that counts".



Association of Engineering Geologists

Noel M. Ravneberg
Executive Director
5313 Williamsburg Road
P.O. Box 458
Brentwood, TN 37027

November 1984

Appendix B

Re: United States V. Association of Engineering
Geologists (Civil No. 84 0496)

Dear Member:

The Association of Engineering Geologists (AEG) has recently entered into a Final Judgment with the United States Department of Justice to settle a civil antitrust case filed against the Association. That case, United States v. Association of Engineering Geologists (Civil No. 84 0496) concerned the following AEG ethical rules and guidelines: Article (5), Guideline b. and d.-h. which require engineering geologists to charge "customary" fees and which prohibit them from making price the "overriding" or "primary" consideration; and Article (8), Guidelines a.-c. which prohibit solicitation of engineering geology engagements after being advised that "another engineering geologist has been selected," is "under contract for the same work," or has made a "study and report on a specific project."

Under the terms of the Final Judgment, all of the foregoing rules and guidelines have been deleted from AEG's Code of Ethics. AEG members will now be able to advertise their services, offer competitive price quotations, hourly rates, or price estimates to all potential customers, and solicit engineering geology engagements even if another engineering geologist is being considered or is under contract for all or part of the same work.

In addition, the Final Judgment, which was signed by Judge David V Kenyon of the Central District of California, prevents AEG from adopting in the future any rule, policy statement, or standard which would suppress, restrain or discourage commercial advertising, price competition, or solicitation in the sale of engineering geology services, or which states or implies that such advertising, price competition, or solicitation is unethical, unprofessional, or contrary to any AEG policy.

A copy of the entire Final Judgment is printed on the reverse of this letter and will in the future be available upon request. I urge you to read it carefully.

Sincerely yours,

Noel M. Ravneberg
Executive Director

JAMES E. FIGENSHAW
SHAUNA I. MARSHALL
Antitrust Division
Department of Justice
450 Golden Gate Avenue
Box 36046, Room 16216C
San Francisco, California 94102
Telephone: (415) 556-6300

Attorneys for the United States

UNITED STATES DISTRICT COURT
FOR THE CENTRAL DISTRICT OF CALIFORNIA

UNITED STATES OF AMERICA,)
Plaintiff,)
V.)
ASSOCIATION OF ENGINEERING)
GEOLOGISTS,) Civil NO. 84-0496 KN (Mcx)
Defendant.) FINAL JUDGMENT

Plaintiff, the United States of America, having filed its Complaint herein on January 24, 1984, and Plaintiff and Defendant, by their respective attorneys, having each consented to the entry of this Final Judgment without trial or adjudication of any issue of fact or law herein and without this Final Judgment constituting evidence against or admission by either party with respect to any such issue;

NOW, THEREFORE, before the taking of any testimony and without trial or adjudication of any issue of fact or law herein, and upon the consent of the parties, it is hereby ORDERED, ADJUDGED, AND DECREED as follows:

This Court has jurisdiction of the subject matter of this action and of both of the parties hereto. The Complaint states a claim upon which relief may be granted against Defendant under Section 1 of the Sherman Act (15 U.S.C.#1).

This Final Judgment shall apply to Defendant and to Defendant's officers, directors, agents, employees, sections, committees, successors, and assigns, and to all other persons in active concert or participation with any of them who shall have received actual notice of this Final Judgment by personal service or otherwise.

As used in the Final Judgment:
(A) "AEG" means the Defendant, Association of Engineering Geologists, and each of its sections;
(B) "Person" means any individual, sole proprietorship, partnership, firm, association, corporation, or any other legal or business entity;
(C) "Code of Ethics" means the Articles of and Guidelines to Defendant's current Code of Ethics or any subsequent edition or version of Defendant's Code of Ethics; and
(D) "Members" means Members, Associate Members, Affiliate Members, Corporate Members, and Contributing Members as defined by the By-Laws of AEG.

Defendant AEG is enjoined and restrained from directly or indirectly:
(A) Continuing, maintaining, initiating, adopting, ratifying, entering into, carrying out, or furthering any plan, program, or course of action which has the purpose or effect of suppressing, restraining, or discouraging commercial advertising, price competition, or solicitation in the sale of engineering geology services; and
(B) Continuing, maintaining, initiating, adopting, ratifying, disseminating, publishing, or seeking adherence to any Code of Ethics, statement of principle or policy, resolution, rule, by-law, standard, or collective statement which has the purpose or effect of suppressing, restraining, or discouraging commercial advertising, price competition or solicitation in the sale of engineering geology services, or which states or implies that such advertising, price competition, or solicitation is unethical, unprofessional, or contrary to any policy of Defendant.

Defendant AEG is ordered and directed to cancel and rescind each Article of and Guideline to its current Code of Ethics that is set out in Appendix A to this Final Judgment within 60 days of the date of entry of this Final Judgment. Defendant AEG is further ordered and directed to delete any other Article of and Guideline to its current Code of Ethics, and every other statement of principle or policy, resolution, rule, or by-law, which has the purpose or effect of suppressing, restraining, or discouraging commercial advertising, price competition, or solicitation in the sale of engineering geology services, or which states or implies that such advertising, price competition, or solicitation is unethical, unprofessional, or contrary to any policy of Defendant.

Defendant AEG is ordered and directed within sixty (60) days from the date of entry of this Final Judgment to:
(A) Send a copy of this Final Judgment together with a letter on the letterhead of AEG, with a text identical to that of Appendix B of this Final Judgment, to each of its members; (Appendix "B" is the covering letter)
(B) Attach to each copy of the current Code of Ethics and Professional Practice Guidelines in Defendant's possession, custody, or control hereafter mailed a statement that nothing in said Code or Guidelines prohibits commercial advertising, price competition, or solicitation in the sale of engineering geology services, and that such advertising, price competition, or solicitation is not unethical, unprofessional, or contrary to any policy of Defendant, and
(C) Publish the notice attached hereto as Appendix C in The Engineering Times and The Professional Geologist.

The Defendant AEG is ordered and directed to submit to Plaintiff on official written certification that it does not have in effect, and does not seek adherence to, any Code of Ethics, statement of principle or policy, resolution, rule, by-law standard, or collective statement which has the purpose or effect of suppressing, restraining, or discouraging commercial advertising, price competition, or solicitation in the sale of engineering services, and that it does not pursue any other collective course of action which has the purpose or effect of suppressing or eliminating such advertising, price competition, or solicitation. This certification shall be submitted within sixty (60) days from the date of entry of this Final Judgment and shall be renewed thereafter annually for a period of ten (10) years.

Defendant is ordered and directed, for a period of ten (10) years following the date of entry of this Final Judgment to:
(A) Send a copy of this Final Judgment to each new member; and
(B) State in any subsequent edition or version of its Code of Ethics or Professional Practice Guidelines that nothing in said Code or Guidelines prohibits commercial advertising, price competition, or solicitation in the sale of engineering geology services, and that such advertising, price competition, or solicitation is not unethical, unprofessional, or contrary to any policy of Defendant.

Defendant is ordered and directed to submit semiannually for a period of five years to the Department of Justice information and copies of correspondence with its members concerning the application, interpretation, or enforcement of any Code of Ethics, statement of principle or policy, rule, by-law, standard, or collective statement pertaining to advertising, price competition, or solicitation by engineering geologists.

Defendant is ordered to file with Plaintiff on the anniversary date of the entry of this Final Judgment, for a period of ten years, a report setting forth the steps it has taken during the prior year to comply with the provisions of this Final Judgment.

For the purpose of determining or securing compliance with this Final Judgment, and subject to any legally recognized privilege, from time to time:
(A) Duly authorized representatives of the Department of Justice shall, upon written request of the Attorney General or of the Assistant Attorney General in charge of the Antitrust Division, and on reasonable notice to Defendant made to its principal office be permitted:

(1) Access during office hours to inspect and copy all books, ledgers, accounts, correspondence, memoranda, and other records and documents in the possession or under control of Defendant, who may have counsel present, regarding any matters contained in this Final Judgment; and
(2) Subject to the reasonable convenience of such Defendant and without restraint or interference from it, to interview officers, employees, and agents of such Defendant, who may have counsel present, regarding any such matters.
(B) Upon the written request of the Attorney General or of the Assistant Attorney General in charge of the Antitrust Division made to Defendant's principal office, Defendant shall submit such written reports, under oath if requested, with respect to any of the matters contained in this Final Judgment as may be requested. No information or documents obtained by means provided in this Section shall be divulged by any representative of the Department of Justice to any person other than a duly authorized employee or representative of the Executive Branch of the United States, except in the course of legal proceedings to which the United States is a party, or for the purpose of securing compliance with this Final Judgment, or as otherwise required by law.

This Final Judgment shall remain in effect until ten (10) years from the date of entry.

Jurisdiction is retained by this Court for the purpose of enabling any of the parties to this Final Judgment to apply to this Court at any time for such further orders or directions as may be necessary or appropriate for the construction or carrying out of this Final Judgment, for the modification of any of its provisions, for its enforcement or compliance, and for the punishment of any violation of its provisions.

Entry of this Final Judgment is in the public interest.

Entered: November 1984 David V. Kenyon
United States District Court Judge

Article (5)-The Engineering Geologist shall announce his availability for professional work in a manner which will maintain personal dignity and that of the profession.
Guidelines:

b. In connection with this practice he shall not use any commercial advertising media such as newspaper and magazine space advertisements, indiscriminate direct mailings, and radio and television time, as well as items bearing his name, such as pencils, blotters, calendars, etc.

Article (7)-The Engineering Geologist shall compete for employment with others in the Profession on the basis of qualifications and a fair charge for his or her services.
Guidelines:

b. He shall compete fairly with other engineering geologists by charging fees customary for practice in the same area and for the same type of work.
d. He may, where price competition is clearly not involved, discuss with a prospective client: Qualifications, scope of work, availability, and basis for charges for services.
e. He may submit a priced proposal, either written or verbal which includes a stated fee or estimated range of fees in any form in response to:
1. A public advertisement for bids.
2. Any invitation, unless there is reason to believe that price will be the overriding consideration in award of the work.
f. He shall not be a party to requesting two or more proposals for comparative purposes where price is to be the primary consideration in award of the work.
g. He shall submit a proposal for an engineering geology engagement only when invited to do so, or when he judges it to be in the best interest of a client or potential client.
h. He shall not solicit an engineering geology engagement by reducing charges after being informed of proposals of others.

Article (9)-In cases where negotiations proceed on the basis of prequalification and subsequent negotiation in fixed order, the Engineering Geologist submitting a proposal shall assume a passive role until such time as his or her turn for negotiations has been specified by the client.
Guidelines:

a. He shall not continue to seek employment on a specific engagement after being advised that another engineering geologist has been selected, subject to approval of detailed arrangements.
b. He shall not solicit or accept employment from a client who already has an engineering geologist under contract for the same work, not yet completed or paid for.
c. He shall not, in the event that another engineering geologist has made a study and report on a specific project, approach the prospective client regarding subsequent phases of the project, unless such contact is initiated by the client.

Appendix B

Re: United States v. Association of Engineering Geologists (Civil No. 84-0496)

The Appendix B is the covering letter on the reverse of this judgment.

Appendix C

The Association of Engineering Geologists (AEG) has recently entered in a Final Judgment with the United States Department of Justice to settle an antitrust case filed against the Association. In that civil action, United States v. Association of Engineering Geologists (Civil No. 84 0496), the Government challenged various rules and guidelines from AEG's Code of Ethics which, among other things, prohibited commercial advertising; required engineering geologists to charge "customary" fees; prohibited engineering geologists from making price the "overriding" or "primary consideration;" and prohibited solicitation of engineering geology engagements after being advised that "another engineering geologist has been selected," is "under contract for the same work," or has made a "study and report on a specific project." Under the terms of the Final Judgment, these rules and guidelines have been deleted from AEG's Code of Ethics. AEG members will no longer be prohibited from advertising their services; offering competitive price quotations, hourly rates, or price estimates to all potential customers; or from soliciting engineering geology engagements even if another engineering geologist is being considered or is under contract for all or part of the same work.

EXAMINATION LOCATIONS

Examinations for registration as a geologist and geophysicist and for certification as an engineering geologist will be scheduled twice this year between February 1 and November 30 and are expected to be given at the following locations:

_____ Sacramento

_____ Los Angeles

_____ San Francisco

Please indicate your preference for assignment and date you wish to take the examination, if your application is accepted for the examination, and include this sheet with your application. Assignments will be made as requested if possible.

NAME _____

ADDRESS _____

EXAMINATION SCHEDULE

Examinations will be given:

Geology & Geophysicist - May 17, 1985

Engineering Geologist - May 18, 1985

FINAL FILING DATE FOR MAY EXAMINATIONS - FEBRUARY 18, 1985

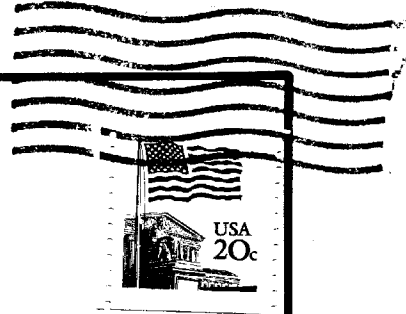
Geology & Geophysicist - November 15, 1985


Engineering Geologist - November 16, 1985

FINAL FILING DATE FOR NOVEMBER EXAMINATIONS - AUGUST 16, 1985

Please schedule me to take the examination(s) on _____.


STEVE JENSEN
c/o F. Beach Leighton & Associates
4393 Viewridge Avenue, Suite D
San Diego, California 92123




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